



Attorney Docket No. SEL 192

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application of:

Shunpei YAMAZAKI et al

Serial No.: 09/610,217

Filed: July 5, 2000

Art Unit: 2812

Examiner: Richard A. Booth

For: SEMICONDUCTOR DEVICE AND  
FABRICATION METHOD THEREOF

) I hereby certify that this correspondence  
) is being deposited with the United States  
) Postal Service as first class mail in an  
) envelope addressed to:  
) Commissioner for Patents, P.O. Box 1450,  
) Alexandria, VA 22313-1450, on January 5,  
) 2004

) Cristie M. Hall  
)  
)

) Date: January 5, 2004  
)

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97, as revised on February 4, 1992, 1135 OG 23-24, Applicant hereby calls the Examiner's attention to documents listed on the attached form, which documents may be material to the examination of this application. Copies of the references are enclosed herewith for the Examiner's consideration.

No inference should be drawn that the attached list sets forth a comprehensive investigation of the prior art, that any or all are pertinent to the invention, or that any apparatus disclosed is equivalent to the subject invention.


The citation of the above-discussed documents is not to be construed as an assertion that more pertinent art could not

possibly be in existence. Citation of any document herein is not to be construed as an admission that any subject matter disclosed in the document is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of those documents discussed herein, that may be cited by the Examiner in rejecting a claim in the instant patent application.

As a RCE is being filed herewith no fee is believed necessary. If a fee is required, please charge Deposit Account No. 50/1039.

Respectfully submitted,



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LIST OF PUBLICATIONS CITED BY APPLICANT			<u>Atty Docket No.</u> SEL 192	<u>Serial No.</u> 09/610,217		
			<u>Applicant</u> Shunpei YAMAZAKI et al			
			<u>Filing Date</u> July 5, 2000	<u>Group</u> 2812		
U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	5,841,170	11/24/98	Adan et al	257	345	01/14/97
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**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	4,963,504	10/16/90	Huang	437	44	11/24/89
	5,153,754	10/06/92	Whetten	359	59	11/29/89
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**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	APPLICANT	English Abstract	English Trans.	FILING DATE

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

(Include name of author (in CAPITAL LETTERS), title of article or item (book, magazine, journal, serial, symposium, catalog, etc.) date, pages(s), volume-issue number(s), publisher, city and/or country where published).

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EXAMINER:

DATE CONSIDERED:

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP form. Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.